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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
Docket No.: 020681-001610

On 1-3-05

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hans Ernst Jan Hofland *et al.*

Application No.: 10/799,922

Filed: March 12, 2004

For: LIPOSOMAL FORMULATIONS
AND METHODS OF USE

Examiner:

Art Unit: 1615

PETITION UNDER 37 CFR 1.47(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Adjustment date: 01/11/2005 WASFAW1
01/10/2005 WASFAW1 00000007 201430 10799922
01 FC:1462 400.00 CR

Sir:

This petition is submitted in response to the Notice to File Missing Parts of Nonprovisional Application mailed June 1, 2004, in the above-referenced application. Applicants are also filing herewith a Petition to Extend Time for five months to the present date with payment of the appropriate fee.

Pursuant to the provisions of 37 CFR 1.47(b), Optime Therapeutics, Inc (hereinafter referred to as "Optime") hereby petitions for filing of the above-identified patent application on behalf of Hans Ernst Jan Hofland, one of the co-inventors of the above-referenced patent application, who has to date failed to sign the Declaration or

01/07/2005 WASFAW1 00000071 201430 10799922
Adjustment date: 01/10/2005 WASFAW1
01/07/2005 WASFAW1 00000071 201430 10799922
01 FC:1464 130.00 CR

01/10/2005 WASFAW1 00000007 201430 10799922
01 FC:1462 400.00 DA

01/11/2005 WASFAW1 00000016 201430 10799922

01 FC:1463 200.00 DA

Attached herewith are the following documents supporting this petition:

Exhibit A: Letter to John Kinzell, Ph.D. of Optime dated July 30, 2004, with the Declaration and Assignment documents attached for signature by Hans Hofland. Dr. Kinzell forwarded the documents to Hans Hofland for his review and signature.

Exhibit B: Electronic mail to inventor Hans Hofland dated November 30, 2004, evidencing intent of Hans Hofland's refusal to sign the Declaration and Assignment documents following a telephone conference with the undersigned in which the undersigned expressly requested Hans Hofland to sign the Declaration and Assignment documents, and Hans Hofland expressly indicated his unwillingness/refusal to sign the Declaration and Assignment documents.

Exhibit C: Electronic mail from inventor Hans Hofland dated November 30, 2004, evidencing the inventor's express refusal to sign or cooperate until he is provided with documentation requiring him to assign his patent rights to his former employer, Optime.

Exhibit D: Optime Therapeutics, Inc. -- Employee Proprietary Information and Inventions Agreement between Hans Hofland and Optime.

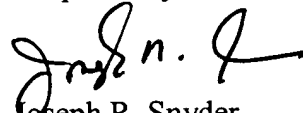
Numerous attempts have been made over the past several months to obtain the signature of inventor Hans Hofland on the Declaration and Assignment documents. Nevertheless, the inventor expressly refuses to sign any and all documentation and, to date, no executed documents have been received by Optime or the undersigned.

Optime has, however, reasonably assumed that inventor Hans Hofland will assign this invention, which was made during his employment with Optime, to Optime. In fact, pursuant to Section 2 and, in particular, Section 2.3 of the Employee Proprietary Information and Inventions Agreement between Hans Hofland and Optime, Hans Hofland was under an obligation to assign his rights in the above-referenced invention/patent application to Optime. Therefore, Optime has proprietary rights in the above-referenced invention and hereby respectfully submits this petition to make the

application for patent on behalf of the non-signing inventor. It is hereby submitted that a filing date is necessary on the above-referenced application in order to preserve the rights of Optime in the subject invention.

If the Examiner believes a telephone conference would expedite handling of this matter, please telephone the undersigned at 925-472-5000.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph R. Snyder", is written over the typed name.

Joseph R. Snyder
Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Attachments
Tel: (415) 576-0200
Fax: (415) 576-0300
EGW:lls

Wackowski, Eugenia Garrett

From: Wackowski, Eugenia Garrett
Sent: Tuesday, November 30, 2004 5:40 PM
To: 'Hans Hofland'; 'Hans Hofland'
Cc: 'John Kinzell'; j.kinzell@att.net; 'Rainer Goeritz'
Subject: U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use"
Importance: High

Dear Hans:

Further to our telephone conference of earlier today, this is to confirm our discussion that you are **refusing** to sign the Declaration and Assignment documents, which were previously sent to you by John Kinzell in connection with U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use," a patent application which is co-owned by Optime Therapeutics Inc. and Magee-Women's Health Organization.

If my understanding is incorrect, please contact me immediately and/or fax the executed documents to my attention at (925) 472-5005 for filing in the U.S. Patent & Trademark Office.

Sincerely,

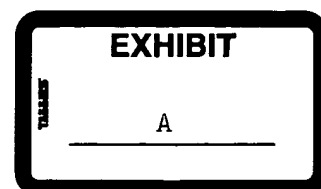
Eugenia

Eugenia Garrett-Wackowski
Attorney-At-Law
Townsend and Townsend and Crew LLP
2175 North California Blvd., Suite 625
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www.townsend.com

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This message may contain confidential information. If you are not the intended recipient and received this in error, any use, or distribution is strictly prohibited. Please also notify us immediately by return e-mail, and delete this message from your computer system. Thank you.



Shaffer, Linda L.

From: Wackowski, Eugenia Garrett
Sent: Tuesday, November 30, 2004 5:41 PM
To: Shaffer, Linda L.
Subject: FW: U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use"
Importance: High

-----Original Message-----

From: Wackowski, Eugenia Garrett
Sent: Tuesday, November 30, 2004 5:40 PM
To: 'Hans Hofland'; 'Hans Hofland'
Cc: 'John Kinzell'; j.kinzell@att.net; 'Rainer Goeritz'
Subject: U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use"
Importance: High

Dear Hans:

Further to our telephone conference of earlier today, this is to confirm our discussion that you are **refusing** to sign the Declaration and Assignment documents, which were previously sent to you by John Kinzell in connection with U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use," a patent application which is co-owned by Optime Therapeutics Inc. and Magee-Women's Health Organization.

If my understanding is incorrect, please contact me immediately and/or fax the executed documents to my attention at (925) 472-5005 for filing in the U.S. Patent & Trademark Office.

Sincerely,

Eugenia

Eugenia Garrett-Wackowski
Attorney-At-Law
Townsend and Townsend and Crew LLP
2175 North California Blvd., Suite 625
Walnut Creek, California 94596
Tel: (925) 472-5000
Fax: (925) 472-8895
E-Mail: egw@townsend.com

www.townsend.com

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This message may contain confidential information. If you are not the intended recipient and received this in error, any use, or distribution is strictly prohibited. Please also notify us immediately by return e-mail, and delete this message from your computer system. Thank you.

EXHIBIT

B

12/1/2004

Wackowski, Eugenia Garrett

From: Hans Hofland [hofland@comcast.net]
Sent: Tuesday, November 30, 2004 11:29 PM
To: Wackowski, Eugenia Garrett
Cc: John Kinzell; j.kinzell@att.net; Rainer Goeritz
Subject: RE: U.S. Patent Application No. 10/799,922 for "Liposomal Formulations and Methods of Use"

Dear Eugenia,

I never said I am **"refusing"** to sign the assignment documents. I merely asked you (OPTIME) to provide me a copy of any document I might have signed requiring me to assign my patent rights to OPTIME. If I recall correctly, I made this request back in July of this year, and still have not received any such document to date.

Regards,
Hans

EXHIBIT

C

OPTIME THERAPEUTICS, INC.

EMPLOYEE PROPRIETARY INFORMATION AND INVENTIONS AGREEMENT

In consideration of my employment or continued employment by OPTIME THERAPEUTICS, INC. (the "Company"), and the compensation now and hereafter paid to me, I hereby agree as follows:

1. NONDISCLOSURE

1.1 Recognition of Company's Rights; Nondisclosure. At all times during my employment and thereafter, I will hold in strictest confidence and will not disclose, use, lecture upon or publish any of the Company's Proprietary Information (defined below), except as such disclosure, use or publication may be required in connection with my work for the Company, or unless an officer of the Company expressly authorizes such in writing. I hereby assign to the Company any rights I may have or acquire in such Proprietary Information and recognize that all Proprietary Information shall be the sole property of the Company and its assigns.

1.2 Proprietary Information. The term "Proprietary Information" shall mean any and all confidential and/or proprietary knowledge, data or information of the Company. By way of illustration but not limitation, "Proprietary Information" includes (a) information relating to products, processes, know-how, designs, drawings, clinical data, test data, formulas, methods, samples, media and/or cell lines, developmental or experimental work, improvements, discoveries, patents (hereinafter collectively referred to as "Inventions"); (b) information regarding plans for research, development, new products, marketing and selling, business plans, budgets and unpublished financial statements, licenses, prices and costs, suppliers and customers; and (c) information regarding the skills and compensation of other employees of the Company. Notwithstanding the foregoing, it is understood that, at all such times, I am free to use information which is generally known in the trade or industry, which is not gained as result of a breach of this Agreement, and my own, skill, knowledge, know-how and experience to whatever extent and in whichever way I wish.

1.3 Third Party Information. I understand, in addition, that the Company has received and in the future will receive from third parties confidential or proprietary information

("Third Party Information") subject to a duty on the Company's part to maintain the confidentiality of such information and to use it only for certain limited purposes. During the term of my employment and thereafter, I will hold Third Party Information in the strictest confidence and will not disclose to anyone (other than Company personnel who need to know such information in connection with their work for the Company) or use, except in connection with my work for the Company, Third Party Information unless expressly authorized by an officer of the Company in writing.

1.4 No Improper Use of Information of Prior Employers and Others. During my employment by the Company I will not improperly use or disclose any confidential information or trade secrets, if any, of any former employer or any other person to whom I have an obligation of confidentiality, and I will not bring onto the premises of the Company any unpublished documents or any property belonging to any former employer or any other person to whom I have an obligation of confidentiality unless consented to in writing by that former employer or person. I will use in the performance of my duties only information which is generally known and used by persons with training and experience comparable to my own, which is common knowledge in the industry or otherwise legally in the public domain, or which is otherwise provided or developed by the Company.

2. ASSIGNMENT OF INVENTIONS.

2.1 Proprietary Rights. The term "Proprietary Rights" shall mean all trade secret, patent, copyright, trademark, know-how, mask work and other intellectual property rights throughout the world.

2.2 Prior Inventions. Inventions, if any, patented or unpatented, which I made prior to the commencement of my employment with the Company are excluded from the scope of this Agreement. To preclude any possible uncertainty, I have set forth on

EXHIBIT

D

Exhibit B (Prior Inventions) attached hereto a complete list of all Inventions that I have, alone or jointly with others, conceived, developed or reduced to practice or caused to be conceived, developed or reduced to practice prior to the commencement of my employment with the Company, that I consider to be my property or the property of third parties and that I wish to have excluded from the scope of this Agreement (collectively referred to as "Prior Inventions"). If disclosure of any such Prior Invention would cause me to violate any prior confidentiality agreement, I understand that I am not to list such Prior Inventions in *Exhibit B* but am only to disclose a cursory name for each such invention, a listing of the party(ies) to whom it belongs and the fact that full disclosure as to such inventions has not been made for that reason. A space is provided on *Exhibit B* for such purpose. If no such disclosure is attached, I represent that there are no Prior Inventions. If, in the course of my employment with the Company, I incorporate a Prior Invention into a Company product, process or machine, the Company is hereby granted and shall have a nonexclusive, royalty-free, irrevocable, perpetual, worldwide license (with rights to sublicense through multiple tiers of sublicensees) to make, have made, modify, use and sell such Prior Invention. Notwithstanding the foregoing, I agree that I will not incorporate, or permit to be incorporated, Prior Inventions in any Company Inventions without the Company's prior written consent.

2.3 Assignment of Inventions. Subject to Sections 2.4, and 2.6, I hereby assign and agree to assign in the future (when any such Inventions or Proprietary Rights are first reduced to practice or first fixed in a tangible medium, as applicable) to the Company all my right, title and interest in and to any and all Inventions (and all Proprietary Rights with respect thereto) whether or not patentable or registrable under copyright or similar statutes, made or conceived or reduced to practice or learned by me, either alone or jointly with others, during the period of my employment with the Company. Inventions assigned to the Company, or to a third party as directed by the Company pursuant to this Section 2, are hereinafter referred to as "Company Inventions."

2.4 Nonassignable Inventions. This Agreement does not apply to an Invention which qualifies fully as a nonassignable Invention under Section 2870 of the California Labor Code (hereinafter "Section 2870"). I have reviewed the notification on *Exhibit A* (Limited Exclusion Notification) and agree that my signature acknowledges receipt of the notification.

2.5 Obligation to Keep Company Informed. During the period of my employment with the Company, I will promptly disclose to the Company fully and in writing all Inventions authored, conceived or reduced to practice by me, either alone or jointly with others. In addition, during the twelve (12) month period following termination of my employment with the Company, I will promptly disclose to the Company fully and in writing all Inventions authored, conceived or reduced to practice by me, either alone or jointly with others including all patent applications filed by me or on my behalf within a year after termination of employment which relate or may be useful to the Company's business or actual or anticipated research or development activities. At the time of each such disclosure, I will advise the Company in writing of any Inventions that I believe fully qualify for protection under Section 2870; and I will at that time provide to the Company in writing all evidence necessary to substantiate that belief. The Company will keep in confidence and will not use for any purpose or disclose to third parties without my consent any confidential information disclosed in writing to the Company pursuant to this Agreement relating to Inventions that qualify fully for protection under the provisions of Section 2870. I will preserve the confidentiality of any Invention that does not fully qualify for protection under Section 2870.

2.6 Government or Third Party. I also agree to assign all my right, title and interest in and to any particular Invention to a third party, including without limitation the United States, as directed by the Company.

2.7 Works for Hire. I acknowledge that all original works of authorship which are made by me (solely or jointly with others) within the scope of my employment and which are protectable by copyright are "works made for hire," pursuant to United States Copyright Act (17 U.S.C., Section 101).

2.8 Enforcement of Proprietary Rights. During and after my employment with the Company, I will assist the Company in every proper way to obtain, and from time to time enforce, United States and foreign Proprietary Rights relating to Company Inventions in any and all countries. To that end I will execute, verify and deliver such documents and perform such other acts (including appearances as a witness) as the Company may reasonably request for use in applying for, obtaining, perfecting, evidencing, sustaining and enforcing such Proprietary Rights and the assignment thereof. In addition, I will execute, verify and deliver assignments of such Proprietary Rights to the Company or its designee. My obligation

to assist the Company with respect to Proprietary Rights relating to such Company Inventions in any and all countries shall continue beyond the termination of my employment, but the Company shall compensate me at a reasonable rate after my termination for the time actually spent by me at the Company's request on such assistance.

2.9 **Execution of Documents.** In the event the Company is unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in the preceding paragraph, I hereby irrevocably designate and appoint the Company and its duly authorized officers and agents as my agent and attorney in fact, which appointment is coupled with an interest, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of the preceding paragraph with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the Company any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any Proprietary Rights assigned hereunder to the Company.

3. **RECORDS.** I agree to keep and maintain adequate and current records (in the form of notes, sketches, drawings and in any other form that may be required by the Company) of all Proprietary Information developed by me and all Inventions made by me during the period of my employment at the Company, which records shall be available to and remain the sole property of the Company at all times.

4. **ADDITIONAL ACTIVITIES.** I agree that during the period of my employment by the Company I will not, without the Company's express written consent, engage in any employment or business activity which is competitive with, or would otherwise conflict with, my employment by the Company.

5. **Non-Solicitation.** I agree that, during the term of my employment with the Company, and for a period of one (1) year following the date of my termination of employment with the Company, I will not form a business relationship with, offer to employ, or arrange employment of, anyone who is at that time employed by the Company or has been employed by the Company for any period of time during the previous six (6) months, nor shall I either directly or indirectly through others, induce, solicit or attempt to solicit any employee, consultant or independent contractor of the Company to leave the employ of the Company or to terminate his or her relationship with the Company in order to become an employee,

consultant or independent contractor to or for any other person or entity.

6. **NO CONFLICTING OBLIGATION.** I represent that my performance of all the terms of this Agreement and as an employee of the Company does not and will not breach any agreement to keep in confidence information acquired by me in confidence or in trust prior to my employment by the Company. I have not entered into, and I agree I will not enter into, any agreement either written or oral in conflict herewith.

7. **RETURN OF COMPANY DOCUMENTS.** When I leave the employ of the Company, I will deliver to the Company any and all drawings, notes, memoranda, specifications, devices, formulas, and documents, together with all copies thereof, and any other material containing or disclosing any Company Inventions, Third Party Information or Proprietary Information of the Company. I further agree that any property situated on the Company's premises and owned by the Company, including disks and other storage media, filing cabinets or other work areas, is subject to inspection by Company personnel at any time with or without notice. Prior to leaving, I will cooperate with the Company in completing and signing the Company's termination statement.

8. **LEGAL AND EQUITABLE REMEDIES.** Because my services are personal and unique and because I may have access to and become acquainted with the Proprietary Information of the Company, the Company shall have the right to enforce this Agreement and any of its provisions by injunction, specific performance or other equitable relief, without bond and without prejudice to any other rights and remedies that the Company may have for a breach of this Agreement.

9. **NOTICES.** Any notices required or permitted hereunder shall be given to the appropriate party at the address specified below or at such other address as the party shall specify in writing. Such notice shall be deemed given upon personal delivery to the appropriate address or if sent by certified or registered mail, three (3) days after the date of mailing.

10. **NOTIFICATION OF NEW EMPLOYER.** In the event that I leave the employ of the Company, I hereby consent to the notification of my new employer of my rights and obligations under this Agreement.

11. GENERAL PROVISIONS.

11.1 **Governing Law; Consent to Personal Jurisdiction.** This Agreement will be governed by and construed according to the laws of the State of California, as such laws are applied to agreements entered into and to be performed entirely within California between California residents. I hereby expressly consent to the personal jurisdiction of the state and federal courts located in Santa Clara County, California for any lawsuit filed there against me by Company arising from or related to this Agreement.

11.2 **Severability.** In case any one or more of the provisions contained in this Agreement shall, for any reason, be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect the other provisions of this Agreement, and this Agreement shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein. If moreover, any one or more of the provisions contained in this Agreement shall for any reason be held to be excessively broad as to duration, geographical scope, activity or subject, it shall be construed by limiting and reducing it, so as to be enforceable to the extent compatible with the applicable law as it shall then appear.

11.3 **Successors and Assigns.** This Agreement will be binding upon my heirs, executors, administrators and other legal representatives and will be for the benefit of the Company, its successors, and its assigns.

11.4 **Survival.** The provisions of this Agreement shall survive the termination of my employment and the assignment of this Agreement by the Company to any successor in interest or other assignee.

11.5 **At-Will Employment.** I agree and understand that nothing in this Agreement shall confer any right with respect to continuation of employment by the Company, nor shall it interfere in any way with my right or the Company's right to terminate my employment at any time, for any reason, with or without cause, and with or without notice.

11.6 **Waiver.** No waiver by the Company of any breach of this Agreement shall be a waiver of any preceding or succeeding breach. No waiver by the Company of any right under this Agreement shall be construed as a waiver of any other right. The Company shall not be required to give notice to

enforce strict adherence to all terms of this Agreement.

11.7 **Entire Agreement.** The obligations pursuant to Sections 1 and 2 of this Agreement shall apply to any time during which I was previously employed, or am in the future employed, by the Company as a consultant if no other agreement governs nondisclosure and assignment of inventions during such period. This Agreement is the final, complete and exclusive agreement of the parties with respect to the subject matter hereof and supersedes and merges all prior discussions between us. No modification of or amendment to this Agreement, nor any waiver of any rights under this Agreement, will be effective unless in writing and signed by the party to be charged. Any subsequent change or changes in my duties, salary or compensation will not affect the validity or scope of this Agreement.

This Agreement shall be effective as of the first day of my employment with the Company.

I HAVE READ THIS AGREEMENT CAREFULLY AND UNDERSTAND ITS TERMS. I HAVE COMPLETELY FILLED OUT EXHIBIT B TO THIS AGREEMENT.

Dated: 3/19/2002

Signature

Hans Hofernd.
(Printed Name)

ACCEPTED AND AGREED TO:

OPTIME THERAPEUTICS, INC.

By: _____

Title: _____

1333 North McDowell Boulevard
Petaluma, CA 94954-7106

EXHIBIT A

LIMITED EXCLUSION NOTIFICATION

THIS IS TO NOTIFY you in accordance with Section 2872 of the California Labor Code that the foregoing Agreement between you and the Company does not require you to assign or offer to assign to the Company any invention that you developed entirely on your own time without using the Company's equipment, supplies, facilities or trade secret information except for those inventions that either:

- (1) Relate at the time of conception or reduction to practice of the invention to the Company's business, or actual or demonstrably anticipated research or development of the Company;
- (2) Result from any work performed by you for the Company.

To the extent a provision in the foregoing Agreement purports to require you to assign an invention otherwise excluded from the preceding paragraph, the provision is against the public policy of this state and is unenforceable.

This limited exclusion does not apply to any patent or invention covered by a contract between the Company and the United States or any of its agencies requiring full title to such patent or invention to be in the United States.

I ACKNOWLEDGE RECEIPT of a copy of this notification.

By: _____



(Printed Name of Employee)

Date: _____

3/19/2002

WITNESSED BY:

(Printed Name of Representative)

EXHIBIT B

TO: OPTIME Therapeutics, Inc.

FROM: Hans Hofland

DATE: 3/19/2002

SUBJECT: Prior Inventions

1. Except as listed in Section 2 below, the following is a complete list of all inventions or improvements relevant to the subject matter of my employment by OPTIME Therapeutics, Inc. (the "Company") that have been made or conceived or first reduced to practice by me alone or jointly with others prior to my engagement by the Company:

☐ No inventions or improvements.

☐ See below:

☒ Additional sheets attached.

2. Due to a prior confidentiality agreement, I cannot complete the disclosure under Section 1 above with respect to inventions or improvements generally listed below, the proprietary rights and duty of confidentiality with respect to which I owe to the following party(ies):

Invention or Improvement	Party(ies)	Relationship
1. <hr/>	<hr/>	<hr/>
2. <hr/>	<hr/>	<hr/>
3. <hr/>	<hr/>	<hr/>

☐ Additional sheets attached.

Employee Initial SH
Company Initial

Patents

1. Manufacture of Neutral and Anionic Colloidal Particles for Gene Delivery. **Hofland, H.**, Lamons, D., Meng, X-Y. (2000 Application)
2. Novel Application to Enhance Non-viral Vector Mediated Gene Transfer. Lamons, D., **Hofland, H.** (2000 Application)
3. New DNA transfer reagents, compositions and their applications. (1998) Byk, G., Frederic, M., **Hofland, H.**, Scherman, D. FR. N° 98 04121
4. **Hofland, H.E.J.** and Sullivan, S.M. (1995). Synthetic biodegradable lipids and uses therefor. WO 9637194

EXHIBIT B

TO: OPTIME Therapeutics, Inc.
FROM: Hans Hofland
DATE: 6/21/2001

SUBJECT: Prior Inventions

1. Except as listed in Section 2 below, the following is a complete list of all inventions or improvements relevant to the subject matter of my employment by OPTIME Therapeutics, Inc. (the "Company") that have been made or conceived or first reduced to practice by me alone or jointly with others prior to my engagement by the Company:

☒ [4] No inventions or improvements.

☐ [] See below:

1) Manufacture of neutral and anionic colloidal particles
for gene delivery (2000 application); 2) Novel application to
enhance non-viral vector mediated gene transfer (2000 application)
3) Filing # 9804121 ; 4) WO 9637194

☐ [] Additional sheets attached.

2. Due to a prior confidentiality agreement, I cannot complete the disclosure under Section 1 above with respect to inventions or improvements generally listed below, the proprietary rights and duty of confidentiality with respect to which I owe to the following party(ies):

	Invention or Improvement	Party(ies)	Relationship
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____

☐ [] Additional sheets attached.

Employee Initial HH
Company Initial _____